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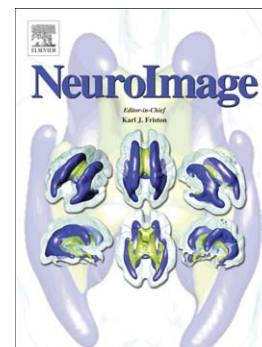
Evidence for abnormalities of cortical development in Adolescent-Onset Schizophrenia

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1 **TITLE PAGE**

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3 **Evidence for abnormalities of cortical development in Adolescent-Onset**  
4 **Schizophrenia**

5

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21

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28 **ABSTRACT**

29

30 Voxel-Based Morphometry (VBM) identifies differences in grey matter brain structure in patients with  
31 schizophrenia relative to healthy controls, with particularly prominent differences found in patients with the  
32 more severe, adolescent-onset form of the disease. However, as VBM is sensitive to a combination of  
33 changes in grey matter thickness, intensity and folding, specific neuropathological interpretations are not  
34 possible. Here, we attempt to more precisely define cortical changes in 25 adolescent-onset schizophrenic  
35 patients and 25 age- and sex-matched healthy volunteers using Surface-Based Morphometry (SBM) to  
36 disambiguate the relative contributions of cortical thickness and surface area differences to changes in  
37 regional grey matter (GM) density measured with VBM. Cortical changes in schizophrenia were  
38 widespread, including particularly the prefrontal cortex and superior temporal gyrus. Nine regions of  
39 apparent reduction in GM density in patients relative to healthy matched controls were found using VBM  
40 that were not found with SBM-derived cortical thickness measures. In Regions of Interest (ROIs) derived  
41 from the VBM group results, we confirmed that local surface area differences accounted for these VBM  
42 changes. Our results emphasize widespread, but focally distinct cortical pathology in adolescent-onset  
43 schizophrenia. Evidence for changes in local surface area (as opposed to simply cortical thinning) is  
44 consistent with a neurodevelopmental contribution to the underlying neuropathology of the disease.

45

46 **INTRODUCTION**

47

48 Neuropathological changes have been an increasing focus of research in efforts to understand the aetiology  
49 of schizophrenia. Several detailed reviews highlight the current knowledge concerning the neurobiological  
50 basis of the disease (e.g. Harrison 1999; Harrison & Weinberger 2005; Glantz et al 2006).

51 Histopathological studies report changes in neuron size and/or number in several regions, including the  
52 hippocampus, anterior cingulate cortex and dorsolateral prefrontal cortex (see Harrison 1999). Alterations  
53 in neuronal presynaptic markers and dendritic density (arborisation) and altered GABAergic, glutamatergic  
54 and dopaminergic neurotransmission have been interpreted as evidence for impaired functional  
55 connectivity, possibly arising from abnormal neurodevelopment (Roberts 1990, Harrison 1997, 1999,  
56 Lewis & Lieberman 2000). There are associated microscopic grey and white matter structural changes, but  
57 evidence for larger-scale patterns of cortical volume loss has been less consistent. Postmortem results have  
58 suggested prefrontal and anterior cingulate cortical density changes (see Glantz et al 2006), with  
59 inconsistent reports of reduced volume in thalamic subregions, the temporal lobes and cerebellum, and  
60 increased volume of the basal ganglia (for a review see Harrison 1999, Shapiro 1993). Attempts to relate  
61 specific brain structural changes to disease symptoms or progression have been equivocal (Harrison &  
62 Wienberger 2005, Harrison 1999).

63

64 Since the advent of non-invasive imaging techniques (Magnetic Resonance Imaging (MRI) in particular),  
65 several hundred studies examining volumetric brain changes in schizophrenic populations have been  
66 reported. A review of 15 studies published in 2004 alone revealed large heterogeneity in reported structural  
67 changes as detected using voxel-based morphometric (VBM) analyses of MRI data collected in  
68 schizophrenic subjects (Honea et al., 2005). The review highlighted that, of over 50 reportedly affected  
69 regions, only 2 regions were noted consistently in more than 50% of the studies: the left medial temporal  
70 lobe and the left superior temporal gyrus. Half of the studies also found grey matter (GM) density  
71 reductions in patients relative to controls in the left inferior and medial frontal gyri, left parahippocampal  
72 gyrus and right superior temporal gyrus.

73

74 Limitations in both data acquisition (e.g. resolution) and analysis approaches (e.g. intensity-based  
75 segmentation techniques, optimal smoothing and biologically-meaningful spatial normalisation between  
76 subjects) may confound interpretation of MRI-derived atrophy estimates. VBM analyses in particular are  
77 sensitive to the degree of smoothing, differences in registration and choice of normalization template (Jones  
78 et al., 2005; Bookstein, 2001; Park et al., 2004). Surface-Based Morphology (SBM) analysis approaches  
79 have been put forward as an alternative method for probing cortical grey matter group changes, and  
80 additionally allow the contributions of grey matter thinning and regional surface area (which are  
81 confounded in VBM approaches) to be defined independently. Another source of variability in cortical  
82 volume changes in schizophrenic subjects is choice of patient population. Gender (Im et al. 2006, Walder et  
83 al., 2007), age at onset (Narr et al., 2005a; Nugent et al., 2007) and medication (Glenthøj et al. 2007; Gur et  
84 al 1998; Khorram et al 2006; Lang et al., 2004; McClure et al., 2006), for example, have all been shown to  
85 influence cortical volume (Walder et al 2006, 2007; Narr et al 2005a, Nugent et al 2007; Glenthøj et al  
86 2007, Lang et al 2004, Gur et al 1998, McClure et al 2006, Khorram et al 2006).

87

88 In this study, we assess cortical changes in schizophrenic patients (selected for recent, adolescent-onset to  
89 minimise population heterogeneity) relative to age- and gender-matched healthy controls. Results from  
90 surface-based and voxel-based morphometry analysis approaches are compared to differentiate cortical  
91 thinning from local changes in surface area in regions where disease-associated GM changes were found.  
92 We first present results of separate SBM-derived cortical thickness and VBM-derived density analyses that  
93 contrast adolescent-onset patients with healthy volunteers. Subsequently, we describe global and regional  
94 SBM measures of surface area change, and relate these to the cortical density change results. Finally, we  
95 test the power of SBM and VBM measures to discriminate between healthy controls and patients.

96

## 97 **MATERIALS AND METHODS**

### 98 *Subjects*

99 Twenty-five adolescent-onset schizophrenics (18 males, aged 13 to 18, mean age 16.25 (stdev 1.4)) were  
100 recruited from the Oxford Regional Adolescent Unit and surrounding units. All met DSM IV (APA, 1994)  
101 criteria for schizophrenia, based on the Kiddie Schedule for Affective Disorders and Schizophrenia

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102 (Kaufman et al, 1997). Age at onset of symptoms ranged from 11-17 years (mean:  $15 \pm 1.5$  years). All  
103 patients were receiving atypical neuroleptics. Twenty-five age and sex-matched healthy volunteers were  
104 also recruited (17 men, age range: 13-19 years, mean age  $16 (+/- 1.5)$ ). Handedness was assessed with the  
105 Edinburgh Handedness Questionnaire (Oldfield, 1971). All participants attended normal schools. Subjects  
106 with a history of substance abuse or pervasive developmental disorder, significant head injury, neurological  
107 disorder or major medical disorder were excluded. Full score IQ differed significantly between the groups  
108 ( $p < 0.001$ ). Participant demographics are presented in **Table 1**.

109

110 The study was approved by the Oxford Psychiatric Research Ethics Committee. Informed written consent  
111 was obtained from all participants or their legal custodian.

112

### 113 **Data Acquisition**

114 T1-weighted whole-brain structural images were acquired for all subjects on a 1.5 T Siemens Sonata MR  
115 scanner using a 3D FLASH sequence (TR 12ms, TE 5.6ms, matrix  $256 \times 256 \times 208$ ,  $1 \times 1 \times 1$ mm resolution, 1  
116 average).

117

### 118 **Image Analysis**

#### 119 *Cortical surface generations and thickness estimation*

120 Surface-based analysis was conducted using FreeSurfer tools (<http://surfer.nmr.mgh.harvard.edu/>) (Dale et  
121 al., 1999; Fischl et al. 1999 a,b). Individual subject's T1 volumes were linearly aligned to the MNI 305  
122 average brain template, bias corrected, skull stripped, and segmented into tissue types. The segmented  
123 white matter (WM) volume was used to derive a tessellated surface representing the gray-white boundary.  
124 The surface was automatically corrected for topology defects, and expanded to model the pial-gray  
125 boundary to produce a second, linked mesh surface. The distance between the grey-white matter boundary  
126 and the pial mesh was used to estimate cortical thickness. The grey-white surface was then inflated to form  
127 a sphere and warped (on the sphere) to match curvature features across subjects (Dale et al., 1999; Fischl et  
128 al. 1999 a,b). After alignment to the spherical-space standard curvature template, the cortex was  
129 partitioned using an automated Bayesian segmentation procedure designed to replicate the neuroanatomical

130 parcellation defined in (Desikan et al., 2006).. Each processing step was verified through: a) visual  
131 verification of segmentation and label outputs; (b) visual verification of alignment by (i) back-projection of  
132 average template sulcal ROIs to individual subject T1s and (ii) back-projection of a smaller ROI of  
133 significant group difference in the post-central region from the average template to individual subject T1  
134 images; (c) searching for patient outliers in regional cortical thickness measures; and (d) spherical  
135 visualization of curvature after alignment. Poor data quality, limiting surface reconstruction, led to the  
136 exclusion of 1 patient and 3 control subjects from the SBM analysis.

137  
138 Following surface extraction, a mean group template was generated for the 46 subjects. The gray-white  
139 surface from each subject was inflated to a sphere (Dale et al., 1999a) and nonlinearly aligned to a study-  
140 derived spherical template formed from the curvature-based registration of the subjects (Fischl et al.,  
141 1999a) . The contribution of potential gender and handedness differences within the complete dataset of 46  
142 participants was assessed by creating a template for a subset of only right-handed male subjects (15  
143 controls/15 patients), as this specific template might be expected to improve alignment by better matching  
144 curvature asymmetries within this gender subgroup.

145  
146 A cross-subject general linear model (GLM), fit at each vertex, was used to test group-wise differences in  
147 surface measures between schizophrenic patients and healthy volunteers. Individual subject thickness  
148 measures were smoothed using a full width half maximum (FWHM) kernel of 10mm, and compared with  
149 results for 5mm, 15mm, 20mm, 25mm and 30mm. Group difference t-stat maps were false-discovery-rate  
150 (FDR) corrected (for multiple comparisons across vertices) at  $p < 0.05$  (Genovese et al., 2002). .GLM  
151 analysis was also repeated in the patient group using neuroleptic dose (chlorpromazine equivalent dose) as  
152 a regressor to examine potential drug effects on cortical thickness (see Bezchlibnyk-Butler and Jeffries  
153 (2000)).

154

### 155 *Surface-based parcellation*

156 Subject-specific cortical parcellations were automatically generated through nonlinear surface registration  
157 of each subject's curvature measures to a reference surface template generated from 40 healthy subjects

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158 (Desikan et al., 2006), with subsequent Bayesian segmentation of the surface features based on the statistics  
159 extracted from the manually labelled regions, optimised for each subjects' specific curvature, to the aligned  
160 surface (for a more detailed description, see Fischl et al. 2004a). This automated parcellation was used to  
161 report results, provide a regional decomposition for surface area testing, and constrain subject  
162 discrimination to regions of significant disease effects.

163

#### 164 *Surface-based metric distortion analysis*

165 Subject-specific spherical deformation measures were generated from each individual subject's surface  
166 warp field, reflecting – at each point on the inflated surface mesh – the extent of local area  
167 expansion/contraction required to align the individual's surface to the group average template. Metric  
168 distortion measures were smoothed with a 10mm FWHM kernel and tested for group differences both using  
169 the raw values, and after scaling (normalizing) by the ratio of the global surface area change.

170

#### 171 *Surface area analysis*

172 Smoothness constraints in the nonlinear surface warp may render metric distortion relatively insensitive to  
173 subject-wise variations in local surface area. Regional surface area measures are complementary and  
174 derived from native images; these were obtained from the cortical parcellations described above. The  
175 average surface area of the white and pial surfaces was computed and used to approximate the midway  
176 surface area, which was then summed for each parcellation.

177

#### 178 *VBM-style analyses*

179 A detailed description of a VBM-style analysis of the same subjects is reported in Douaud et al. (2007) .  
180 Briefly, whole-brain voxel-wise differences in GM morphometry between adolescent schizophrenic  
181 patients and healthy volunteers were tested using an “optimised” FSL-based VBM approach using FSL  
182 tools (Good et al., 2002; Smith et al., 2004, [www.fmrib.ox.ac.uk/fs/](http://www.fmrib.ox.ac.uk/fs/)), compensating for possible contraction  
183 or enlargement due to the nonlinear element of data alignment. The individual modulated normalised GM  
184 density images were smoothed with an isotropic Gaussian kernel of approximately 8 mm FWHM.  
185 Differences in the distribution of GM between the patient and healthy volunteer groups were examined



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186 using permutation-based non-parametric inference testing (Nichols et al., 2002) . Results were considered  
187 significant at  $p < 0.05$  (5000 permutations, initial cluster-forming thresholding at  $P_{\text{uncorrected}} = 0.05$ ), fully  
188 corrected for multiple comparisons. This analysis was repeated, including a regression analysis with  
189 medication (chlorpromazine equivalent dose) to test for effects of atypical neuroleptics on measures of  
190 structural abnormality.

191

### 192 *Surface Scaling Factors*

193 As differences in brain size may bias cortical thickness estimates (Luders et al. 2006), we analyzed the data  
194 with and without corrections for individual differences in brain size. The scaling of a subject's skull-  
195 stripped brain to the template was calculated from the linear transformation matrix produced by FSL's  
196 FLIRT (Jenkinson and Smith, 2002) and used to provide global scaling/normalisation for some of the  
197 surface-based results (e.g. cortical thickness). As average scaling is a 3D measure of brain size, cortical  
198 thickness was corrected with the  $1/3^{\text{rd}}$  power of average scaling while area was corrected with the  $2/3^{\text{rd}}$   
199 power.

200

201 An additional measure of overall brain size is FreeSurfer's intracranial volume (ICV) estimate based on  
202 Buckner et al. (2004). Both brain size measures were tested for between-group differences. Surface models  
203 also provide overall cortical estimates such as total surface area and global mean cortical thickness, which  
204 may be better suited to scale regional SBM-derived measures. Mean cortical thickness was also used to  
205 normalise the surface-based measures and assess the differences with traditional volumetric scaling.

206

207 All statistical tests were conducted using Walsh's t-test in R (Venables & Ripley 1999).

208

### 209 *VBM ROI rendering onto SBM-derived average surface*

210 VBM-style approaches are sensitive to a combination of cortical thickness, surface area and shape  
211 measures. SBM, on the other hand, uses an explicit model of the neocortex, offering independent measures  
212 of thickness, surface area and folding patterns. Thus, areas of significant difference in VBM GM density  
213 may be found without a corresponding change in SBM-derived cortical thickness. To visualise differences

214 in anatomical location of GM group changes between the two analysis methods, the thresholded VBM-  
215 derived group difference t-statistic map was rendered onto the SBM-based average surface template by first  
216 “inverse-warping” the VBM group difference map to the native-space T1 structural image of each of the 46  
217 individual subjects used in the SBM analysis. Subsequently, for each subject, the resulting ROI was  
218 forward-warped to spherical surface standard space through the same transformation used to bring  
219 individual subjects’ grey matter into alignment with the average template.

220

### 221 *SBM and VBM Discriminant Testing*

222 Linear discriminant analysis (LDA) was conducted on SBM-derived thickness and metric distortion  
223 estimates and the smoothed and modulated GM images. Multivariate VBM, and both univariate and  
224 multivariate SBM discriminant testing was conducted voxel/vertex-wise using a leave-one-out cross-  
225 validation (LOOCV) approach to form a discriminant scalar or vector from N-1 subjects and testing this on  
226 the subject left out (Hastie et al., 2001).

227

228 In order to assess the spatial sparsity of the discrimination, mean cortical thickness, curvature, surface area  
229 and volume measures derived from each of the 32 cortical regions of the Desikan template (Desikan et al.,  
230 2006) were paired with the same estimate from each of the other regions following Lerch et al. (2006), and  
231 LDA was performed as a simple 2D multivariate discriminant analysis.

232 As a secondary surface-based discriminant analysis, we also subdivided the largest parcellation areas (IT,  
233 MT, ST, PreC, PostC, and Superior and Rostral Frontal) into 3 - or in the case of the “superior frontal”  
234 label - 4 regions of equal area along the principal axis of the parcel in order to improve the spatial  
235 sensitivity of the LDA discriminant analysis.

236

## 237 **RESULTS**

### 238 *Surface-based Morphometry (SBM) results: global summary measures and scaling factors*

239 Significant differences in volumetric brain size were found between the patient and control groups (Table  
240 2). There were also significant differences in GM volume, WM surface area, mean cortical thickness and  
241 the ratio of gyral-sulcal WM surface.

242

243 ***Surface-based Morphometry results: Cortical volume changes***

244 Group t-stat maps derived from surface-based analysis revealed significantly decreased cortical thickness in  
245 adolescent-onset schizophrenic patients relative to healthy controls in several cortical regions across the  
246 brain. These included bilateral caudal middle frontal, precuneus, superior parietal, superior temporal,  
247 lingual, postcentral and paracentral regions. Left hemisphere thinning was localised to pars opercularis,  
248 lateral orbitofrontal sulcus, cuneus, inferior parietal sulcus, and an unlabelled region in the insula. Right  
249 hemisphere cortical thinning was observed in the lateral occipital gyrus, posterior inferior temporal gyrus,  
250 rostral middle frontal, superior frontal, posterior cingulate gyrus and an unlabelled region in the parietal  
251 operculum/postcentral border (Figure 1, Table 3). No regions of increased thickness were found in patients  
252 relative to controls. The overall spatial distribution of cortical thinning was independent of smoothing  
253 kernels between 5-30mm FWHM.

254

255 We tested for independent effects of neuroleptic medication by modelling chlorpromazine equivalent dose  
256 as an explanatory variable in the SBM GLM model. No significant medication-related cortical thickness  
257 differences were found. Modelling IQ as an explanatory variable reduced the overall effect size of the  
258 results (as IQ differed significantly between the groups) but did not alter the spatial distribution of the  
259 results.

260

261 ***Voxel-based morphometry results***

262 Detailed voxel-based morphometry results were reported previously (Douaud et al., 2007) and are  
263 reproduced here (Figure 2A) for comparison with SBM results (Figure 2B). No regions of increased GM  
264 density were found for patients relative to controls.

265

266 ***VBM-SBM comparisons: co-localisation of significant results***

267 Comparison of SBM and VBM results identified overlapping density (decreased in patients) and cortical  
268 thickness changes (thinning in patients) (Figure 2, Table 4a) in the left hemisphere superior  
269 parietal/postcentral border, pars opercularis, superior temporal/insula border, precuneus and

270 precuneus/paracentral border. In the right hemisphere, regions of overlap were found in the rostral middle  
271 frontal/superior frontal gyri, superior temporal/insula border, inferior temporal sulcus/gyrus border,  
272 superior parietal cortex, medial superior frontal sulcus/gyrus border, posterior cingulate and precuneus  
273 regions.

274

275 Comparison of SBM and VBM group difference maps also revealed regions of VBM GM density change in  
276 which no corresponding evidence of cortical thinning was seen with SBM in the bilateral inferior/middle  
277 temporal gyrus, medial superior frontal gyrus (SMA), pre/postcentral gyrus (primary motor mouth area),  
278 posterior parietal operculum/transverse temporal (Heschl's) gyrus and rostral anterior cingulate/medial  
279 superior frontal cortex (Figure 2) (Table 4b).

280

#### 281 ***VBM-SBM comparisons: metric distortion***

282 As changes in local surface area might explain discrepancies between VBM and SBM measures, we first  
283 tested relative local distortion measures for potential group differences. Spherical deformation maps  
284 revealed differences in the expansion/contraction of the warp field between patients and controls (Figure 3),  
285 but did not survive multiple comparisons correction. Employing alternative smoothing levels (5-35mm  
286 smoothing FWHM) did not significantly affect the results.

287

288 The analysis was extended by testing whether the combined measure of cortical thickness and metric  
289 distortion approximated VBM density changes (see supplementary material). While the combined  
290 measures maps better approximated the VBM maps, significant differences remained (supplementary  
291 Figure S1).

292

#### 293 ***VBM-SBM comparison: surface area measures***

294 The lack of clear correspondence between the vertex-wise SBM measures and VBM-density measures  
295 suggests that metric distortion alone may not offer a sufficiently sensitive measure of underlying surface  
296 area change. We therefore used *regional surface area measures* extracted from the Desikan template  
297 parcellations in the native structural images to test for differences between adolescent-onset schizophrenics

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298 and healthy volunteers. This revealed significant surface area differences between the groups in several  
299 regions co-localising with those showing VBM density changes but no corresponding SBM thickness  
300 change (Table 5). More refined localisation to test whether surface area changes underlie, for instance, the  
301 VBM SMA result, was limited by the lack of spatial detail provided by the large template parcellations.

302

### 303 *VBM ROI-constrained Analysis of SBM estimates to derive local cortical area*

304 Due to the lack of spatial specificity of parcellation-derived surface area measures, we finally sampled  
305 surface area directly within regions of interest (ROI) defined based on the VBM results. Each of the 9  
306 VBM regions not showing group-wise SBM thickness change was unwrapped back to each subject's native  
307 (T1) image. The native space ROIs were then projected onto the midthickness surface, spatially normalised  
308 via nonlinear spherical registration, and averaged (across subjects). (An example of the VBM Heschl's ROI  
309 is depicted in Supplementary Material Figure S2). Within each projected ROI, surface measures were  
310 sampled from the GM mid-surface estimates (Table 6a,b). Thickness measures were also sampled as  
311 before. T-tests conducted on surface area measures sampled from VBM ROIs revealed significant  
312 differences in schizophrenic patients relative to healthy volunteers in all 9 regions distinguished in the  
313 VBM- and SBM-based patient-control contrast.

314

### 315 *The effect of global disease-related cortical volume decreases on local estimates*

316 As brain sizes differed significantly between patients and controls, surface area measures sampled from  
317 VBM-derived ROIs could be confounded by global size differences. The computed mask size, reflecting  
318 the size of the ROI created on the MNI template, applied to the individual control and patient brains, might  
319 therefore differ between the two populations. However, scaled mask sizes remained significantly different  
320 between patients and controls, suggesting global size difference alone did not account for the local mask  
321 size differences in these regions.

322

### 323 *Discriminant Analysis*

324 The observation of structural differences between brains of patients with schizophrenia and healthy controls  
325 at the group level suggested that individual subjects could be classified based on these differences.

326 Multivariate discriminant analysis using the VBM smoothed modulated GM images yielded 84% accuracy  
327 in classifying subjects as healthy volunteer or adolescent schizophrenic patient (42/50 subjects correctly  
328 classified), with 88% sensitivity and 80% specificity. Surface-based discriminant analysis was performed  
329 using two approaches. Vertex-wise discrimination using cortical thickness yielded 84% accuracy (Figure  
330 4). The second approach, using cortical thickness measures derived from paired, or subdivided Desikan  
331 parcels, offered a similar level of discrimination (82% accuracy between groups using paired parcels; up to  
332 87% using sub-divided parcels).

333

334

### 335 **DISCUSSION**

336

337 We set out to determine specific measures contributing to altered cortical density in adolescent-onset  
338 schizophrenic patients relative to age- and sex-matched healthy volunteers. By estimating surface-derived  
339 thickness and surface area changes, we anticipated being able to interpret VBM-derived density changes  
340 more precisely. We hypothesized that VBM density analysis would reveal additional regions of group-  
341 wise change compared with SBM thickness measures, due to the confound of local area change in the VBM  
342 density estimate. Consistent with this, we observed both common regions of cortical change using SBM  
343 and VBM analysis methods (pre/postcentral, temporal and frontal lobe regions), and regions in which VBM  
344 group density changes were found that were not accompanied by corresponding SBM thickness change.  
345 Smoothing levels, metric distortion analysis, or analysis using a volume change estimate to approximate  
346 VBM results were unable to fully account for the differences in results between the methods. However,  
347 local surface area was significantly different in all regions showing VBM-density but not SBM thickness  
348 change in adolescent-onset schizophrenia. These results (i) highlight that surface-based methods can  
349 provide sensitive, relatively interpretable, indices of disease-related structural changes, and (ii) demonstrate  
350 that both relative cortical thinning and local surface area changes characterise the neuropathology of  
351 adolescent-onset schizophrenia. The latter are consistent with underlying neurodevelopmental differences  
352 between schizophrenia and healthy controls.

353

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354 ***Grey matter changes found with both VBM and SBM are consistent with cortical thinning***

355 Grey matter cortical changes independent of the analysis method used involved the left hemisphere  
356 prefrontal cortex and precuneus, and right hemisphere precuneus and superior/middle temporal regions.  
357 This suggests cortical thinning as the primary measure underlying cortical changes in these regions, and is  
358 consistent with recent reports of prefrontal lobe cortical thinning (Kuperberg et al 2003, Narr et al 2005a,  
359 b), potentially also involving temporal and parietal regions (see Greenstein et al., 2006). Our findings of  
360 cortical thinning in left hemisphere prefrontal, and right hemisphere superior/middle temporal regions are  
361 consistent with reports of progressive frontal and temporal lobe volume loss (Farrow et al., 2005; Gur et al.  
362 1998; Mathalon et al., 2001, see Nakamura et al. 2007 for review), and progressive changes exceeding  
363 those seen in non-psychotic siblings (Honea et al., 2007). As SBM measures of cortical thinning,  
364 consistent with VBM density change in these regions, may reflect cortical lamination changes (Makris et  
365 al., 2006), our results are consistent with thalamo-cortical neurodevelopmental abnormalities preferentially  
366 affecting medial dorsal thalamus connections with frontal and temporal cortical regions (Mitelman et al.,  
367 2005c).

368  
369 It is likely that these cortical changes have behavioural correlates. Functional-anatomical correlates of  
370 structural measures with symptoms of schizophrenia have been found in superior temporal (Wright et al.,  
371 1995, Mitelman et al., 2005a) and frontal lobe (e.g. Mitelman et al., 2005b) regions. Previously, we found  
372 changes in white matter integrity along the arcuate fasciculus consistent with changes in both VBM density  
373 (Douaud et al., 2007) and SBM thickness in left inferior frontal gyrus, a region potentially implicated in  
374 auditory hallucinations (Garcia-Marti et al., 2007, but see Gaser et al., 2004).

375  
376 ***Grey matter changes detected with VBM but not SBM suggest locally reduced cortical surface area in***  
377 ***patients***

378 Measures of grey matter density in VBM are a mixture of thickness, surface area and folding differences.  
379 SBM, in contrast, fits surfaces to the gray/white and pial boundaries, and for each hemisphere separately.  
380 Our second observation of bilateral GM density changes in VBM, not detected with SBM, suggests  
381 regional differences in local cortical surface area in adolescent-onset schizophrenic patients relative to

382 controls. We used four approaches to test this theory. The Jacobian of the warp field demonstrated  
383 regional change in some of the VBM density change regions, but did not survive FDR thresholding. More  
384 directly approximating the VBM-derived density measure using an additional measure of volume change -  
385 obtained by dividing cortical thickness by change in metric distortion at every vertex - improved sensitivity  
386 relative to analysis using each measure separately, but did not fully explain the difference between VBM  
387 and SBM results. A surface area measure derived from the anatomic labels on the surface template  
388 identified significant surface area differences in several of the labels. However, the large extent of each  
389 parcellation region precluded more precise localisation of the significant surface area results. Repeating  
390 this analysis using surface area measures derived directly from each subject's native space within VBM-  
391 defined ROIs identified highly significant local surface area changes in each of the ROIs, even when these  
392 were corrected for group differences in brain size. Thus, VBM density changes in schizophrenia, not  
393 supported by cortical thinning, were attributable to altered surface area in these regions. We hypothesized  
394 that these local area changes were not seen in the FreeSurfer-derived localised measure of area change  
395 (warp field of the Jacobian) because of constraints on scale of spatial integration, affected by factors such  
396 as spatial smoothing, effective smoothness of the surface registration, and accuracy of the surface  
397 registration.

398

399 These findings support the hypothesis that abnormal cortical development contributes to the aetiology of  
400 schizophrenia. They further suggest regionally variable development of local cytoarchitectonical fields, a  
401 concept consistent with previous work (Vogeley et al 2000, Harris et al 2004a, b, Kulynuch et al 1997,  
402 Sallett et al 2003; see Wisco et al, 2007). Gyrfication changes, a potential consequence of local  
403 cytoarchitectonical field abnormalities, have recently been reported in the left hemisphere pars triangularis  
404 (Wisco et al., 2007). Harris et al (2007) found right a prefrontal cortex gyrfication index was highly  
405 predictive of schizophrenia risk.

406

407 *Local disease-specific cortical changes can discriminate adolescent-onset schizophrenic patients from*  
408 *healthy volunteers*



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409 This latter study, our recent work (Douaud et al., (2007)), and that of others, suggests that cortical patterns  
410 may provide phenotypic markers specific for schizophrenia. Bilateral Heschl's gyrus/planum temporale  
411 changes may provide a consistent index. Changes in these regions have been reported at first presentation  
412 of schizophrenia, while left hemisphere Heschl's gyrus shows progressive change with disease duration  
413 (Kasai et al 2007). Moreover, structural changes correlate with both severity of auditory hallucinations  
414 (Gaser et al 2004) and progressive changes in mismatch negativity (Salisbury et al. 2007). The relevance of  
415 altered shape compared with cortical thickness in this region to schizophrenia risk and symptoms  
416 presentation warrants further investigation.

417  
418 We investigated this hypothesis here more generally. Our discriminant analysis results suggested that  
419 patients and healthy controls could be discriminated well based on either VBM (84%) or SBM (84%)  
420 measures. For the SBM parcel-based approach, eleven regions (paired parcels) showed high discriminant  
421 ability between patients and controls. In various combinations, these were the banks of the superior  
422 temporal sulcus (BSTS), lingual gyrus, medial orbital frontal, pars opercularis, posterior cingulate gyrus  
423 and precuneus. The highest discrimination was obtained with the combination of left BSTS with right  
424 paracentral region, left cuneus with right BSTS, and left medial orbitofrontal with right posterior cingulate  
425 labels. This is consistent with a central role for superior medial temporal thickness pathology in  
426 schizophrenia (Lawrie 2007).

427

#### 428 *Methodological considerations*

429 The sample size of our study is relatively small compared with adult-onset schizophrenia studies, limiting  
430 the interpretation of our findings in the wider context of the neuropathology of schizophrenia. However,  
431 the prevalence of schizophrenia in our onset-group is lower than in adulthood. Our sample may therefore be  
432 relatively characteristic, and is comparable to other studies in this population. Future studies in larger  
433 samples will be needed to determine possible relationships between disease symptoms and local structural  
434 changes. Cortical folding differences may exist between male and female subjects, particularly in the left  
435 frontal lobe (Im et al. 2006, Luders et al. 2007). Although our subject groups were matched for sex, subtle  
436 gender-specific spatial registration differences or sex-by-disease trait interactions remain possible. In our

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437 previous study, we repeated density analysis on the same analysis on a 15 control/15 patient subset of right-  
438 handed males (Douaud et al., 2007). Repeating the SBM thickness analysis using the same subset of male  
439 subjects revealed the same distribution of cortical thinning as seen in the mixed-sex larger group, albeit  
440 with reduced significance due to the smaller number of subjects. Including gender as an additional  
441 regressor in the GLM analysis did not significantly alter the spatial distribution of thinning results.  
442 Neuroleptics have also previously been reported to impact on cortical density measures. Neuroleptic dose,  
443 however, was not correlated significantly with either local cortical density or local thickness measures in  
444 our analyses.

445  
446 Reduced brain size in schizophrenic patients relative to controls may confound local estimates of volume  
447 change. We attempted to address this in our study through correction of locally-sampled measures by  
448 scaling mask sizes using the overall measure of brain size (scaling factor) derived from linearly registering  
449 skull-stripped volumes. This measure is more appropriate than skull-derived measures in cases where CSF  
450 differences exist (such as in schizophrenia) However, the scaling factor lacks tissue differentiation, and  
451 therefore may not correct for developmental biases in tissue volume (grey versus white matter).

452

### 453 **Conclusions**

454 In this study, we identified significant, regionally variable cortical pathology in adolescent-onset  
455 schizophrenia, consistent with anatomically specific neurodevelopmental impairments. Anatomically  
456 distinct changes in local cortical surface area and cortical thinning offer evidence for potentially regionally  
457 distinct neurodevelopmental consequences – thinning (perhaps related to loss of neuropil or altered  
458 pruning) and altered regional cytoarchitectonic area. We further demonstrated the potential for these  
459 changes to discriminate patients from healthy controls. Evidence from a range of reports suggests that  
460 symptom presentation may be related to heterogeneity in the pattern of brain changes. In future work it will  
461 be important to define the longitudinal trajectory of these abnormalities and their relationship to disease  
462 symptomatology.

463

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732 **TABLE/FIGURE LEGENDS**

733

734 **Figure 1: SBM-based cortical thickness change in adolescent-onset schizophrenia relative to matched**  
735 **healthy controls.**

736 *Figure 1 Legend: group-wise GLM analysis of cortical thickness (using globally-normalised thickness*  
737 *values) in adolescent-onset schizophrenia compared with age- and gender-matched healthy volunteers*  
738 *demonstrated significant grey matter thinning in patients relative to controls in many regions of cortex*  
739 *(Table 3) (FDR-corrected to  $p < 0.05$ ).*

740

741 **Figure 2: VBM GM density group difference map rendered onto SBM average surface**

742 *Figure 2 Legend: A. Projection of the VBM-based cortical density group difference result onto the SBM-*  
743 *derived group average surface. B. SBM thickness group difference map (FDR-corrected,  $p < 0.05$ ). White*  
744 *circles denote regions of density change using VBM not demonstrating reduced thickness with SBM. Green*  
745 *boxes identify regions of cortical change consistent between VBM (density reduction in patients) and SBM*  
746 *measures (thinning in patients).*

747

748 **Figure 3: Group difference spherical deformation (metric distortion) map**

749 *Figure 3 Legend: Blue: increased metric distortion (increased Jacobian values) in adolescent-onset*  
750 *schizophrenic patients relative to healthy volunteers. Red: larger Jacobian values in healthy volunteers*  
751 *relative to patients ( $p < 0.05$  uncorrected). White circles denote regions where VBM density changes were*  
752 *observed but no SBM thinning in patients relative to controls.*

753

754 **Figure 4: SBM discriminative accuracy using scaled thickness**

755 *Figure 4 Legend: Linear Discriminant Analysis using leave-one-out cross-validation on vertex-wise*  
756 *thickness measures (across subjects) projected onto the average surface template. Red-yellow regions*  
757 *represent areas able to discriminate adolescent-onset schizophrenic patients from healthy controls with*  
758 *>70% accuracy (maximum 84%).*

759

760 **APPENDICES**761 **SUPPLEMENTARY MATERIAL**762 **Figure S1: SBM group analysis using volume change to better approximate VBM density**

763 *Figure S1 Legend: SBM analysis using a volume change measure (thickness divided by metric distortion)*  
764 *as a closer approximation to grey matter density estimates used by VBM. Group-wise SBM volume change*  
765 *results surprisingly did not match VBM results, suggesting thickness and metric distortion measures are*  
766 *not the primary components of VBM density estimates.*

767

768 **Figure S2: Probability map of the average mask of the posterior operculum cluster projected to the**  
769 **study-derived average template.**

770 *Figure S2 legend: This region spanned multiple labels of the Desikan template. The VBM left hemisphere*  
771 *Heschl's ROI, when projected to the surface average, consisted of three regions distinct in surface space,*  
772 *but clustered together in volume space. Projection of VBM ROIs to the average SBM group surface*  
773 *template demonstrated differences in modelling of each method's raw measures might contribute to*  
774 *discrepancy in findings in some of these regions.*

775

776 **Figure S3: SBM group cortical thickness, scaled for global mean cortical thickness**

777 *Figure S3 Legend: Vertex-wise cortical thickness results (FDR-corrected  $p < 0.05$ ) using global mean*  
778 *cortical thickness as a regressor of no interest. Red-yellow regions confirm cortical thinning in*  
779 *schizophrenic patients relative to controls. Regions of apparent thickening in patients relative to controls*  
780 *(blue) emerged when using this alternate scaling approach*

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781 Tables

782 **Table 1: Patient and healthy volunteer demographics**

	<b>Patients</b>	<b>Healthy Controls</b>
<b>Gender (Male/Female)</b>	18/7	17/8
<b>Age (mean, standard deviation)</b>	16, +/- 1.4	16 +/- 1.5
<b>IQ (mean, standard deviation)</b>	87 +/- 14	108 +/- 15
<b>Handedness (Right/Left)</b>	20/5	21/4
<b>Age at onset of symptoms</b>	Range: 11-17 Mean: 15 +/- 1.6	NA
<b>Disease Duration</b>	Mean 1.4 +/- 0.7	NA
<b>Medication</b>	6/25 clozapine, 3/25 quetiapine, 3/25 risperidone, 16/25 olanzapine	NA
<b>Mean duration of treatment (years)</b>	1.1 +/- 0.7	NA
<b>Chlorpro-mazine equivalents*</b>	340 +/- 180	NA

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784 Footnote: Details regarding chlorpromazine equivalents can be found in [77] Bezchlibnyk-Butler and

785 Jeffries (2000).



786 **Table 2: Summary SBM-derived measures of brain differences between patients and controls**

Measure	Control	Patient	Significance (p)
3D volumetric Scaling factor from native to standard space	1.06 (+/- 0.03)	1.08 (+/- 0.03)	0.03
IntraCranial Volume (mm <sup>3</sup> )	2110000 (+/- 297000)	1804000 (+/- 341000)	<0.01
Left area scaling factor (k)	1.09 (+/- 0.11)	1.15 (+/- 0.11)	0.07
Left White Matter Volume (mm <sup>3</sup> )	282739 (+/- 40800)	267668 (+/- 31500)	0.18
Left Grey Matter Volume	309231 (+/- 31800)	282550 (+/- 36000)	0.01
Left White Matter Surface area (mm <sup>2</sup> )	109021 (+/- 10700)	103581 (+/- 9600)	0.08
Left Mean Cortical Thickness (mm)	2.49 (+/- 0.07)	2.40 (+/- 0.15)	0.01
Left Gyrus/Sulcal ratio	0.52 (+/- 0.01)	0.51 (+/- 0.01)	0.03
Right area scaling factor (k)	1.09 (+/- 0.11)	1.15 (+/- 0.11)	0.08
Right White Matter Volume (mm <sup>3</sup> )	283765 (+/- 37000)	268755 (+/- 32100)	0.15
Right Grey Matter Volume	309550 (+/- 30050)	282888 (+/- 36000)	0.01
Right White Matter Surface area (mm <sup>2</sup> )	109184 (+/- 10300)	103849 (+/- 9800)	0.08
Right Mean Cortical Thickness (mm)	2.50 (+/- 0.08)	2.40 (+/- 0.14)	0.01
Right Gyrus/Sulcal ratio	0.52 (+/- 0.01)	0.51 (+/- 0.01)	0.02

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788 Footnote: metric distortion = k\* area of a triangle on a registered sphere /area of triangle on gray/white

789 interface surface.

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791 **Table 3: Surface-based differences in group thickness**

Left Hemisphere Label	t-stat	MNI 305 coordinates (x, y, z)	Right Hemisphere Label	t-stat	MNI 305 coordinates (x, y, z)
<b>Lateral wall</b>			<b>Lateral wall</b>		
Bank of Superior Temporal Sulcus	4.8	-50.9, -44.2, 4.98	Lateral Occipital	5.4	47.9, -71.7, 4.7
Caudal Middle Frontal	3.7	-25.4, -0.03, 43.9	Rostral Middle Frontal	4.2	28.9, 49.9, -1.84
Inferior/Superior Parietal border	4.1	-29.1, -60.1, 41.3	Inferior Temporal	4.4	46.4, -57.1, 0.2
Pars Opercularis	4.7	-38.9, 18.1, 19.9	Caudal Middle Frontal	3.2	37.8, 1.8, 36.5
Inferior Parietal	4.1	-31.7, -75.1, 28.3	Superior Temporal	4.0 3.6	38.4, -13.8, -4.5 58.3, -33.1, 19.7
Postcentral/supramarginal	3.9	-39.4, -27, 38.4	Superior Parietal	3.6	20, -64.9, 41.7
Lateral orbitofrontal	3.2	-31.2, 29.5, -1.7	Postcentral/Superior Parietal	3.9	25.4, -37.5, 51.8
			Postcentral	3.0	64.1, -7.4, 17.5
			Supramarginal	4.2	41.3, -35.9, 39.9
<b>Medial wall</b>			<b>Medial wall</b>		
Precuneus	6.2	-4.9, -50.8, 46.3	Precuneus	6.0 4.7 6.2	5.7, -63.9, 32.2 19.7, -62.9, 32.2 11.3, -47.3, 41.3
Lingual	4.5	-13.5, -49.8, -2.7	Lingual	5.3	5.2, -62.9, 7.3
Paracentral	3.4	-10.9, -30.2, 47.3	Paracentral	4.0	13.3, -32.6, 48.8
Cuneus	3.2	-4.9, -81.3, 37.1	Superior Frontal	3.9	10.9, 26.7, 29.8
			Posterior cingulate	3.9	4.9, -0.3, 36.8

792 *Table 3 Legend: LH = Left Hemisphere, RH = Right Hemisphere, MNI = Montreal Neurological Institute*793 *Footnote: Label terms are those of the Desikan template, based on gyral boundaries commonly employed*794 *in manual segmentations. For further information on labels, see [28].*

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796 **Table 4a: Anatomical location of regions showing corresponding VBM-based grey matter density**797 **reductions and SBM-based cortical thinning in patients relative to controls**

Left Hemisphere Label	MNI coordinates (x, y, z)	Right Hemisphere Label	MNI coordinates (x, y, z)
Superior Parietal/Post central	-30.8, -35.9, 40.8	Rostral Middle Frontal/Superior Frontal	25.1, 42.9, 19.2
Pars Opercularis	-52.4, 13.3, 15.6	Superior Temporal/Insula	39.8, -10.9, -7.1
Superior temporal/Insula	-39.4, -14.3, -6.9	Superior Parietal	26.8, -67.5, 32.2
Precuneus	-16.4, -70, 38.1	Inferior Temporal	49.3, -51.9, -7.3
Precuneus/Paracentral	-10.7, -51.4, 38.7	Posterior Cingulate	6.2, -2.8, 37.9
		Precuneus	21.4, -66.4, 32

798 **Footnote: MNI coordinates are presented based on the Montreal Neurological Institute MNI 305**799 **template.**

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801 **Table 4b Anatomical location of regions showing VBM-based grey matter density reductions but no**802 **corresponding SBM-based cortical thinning in patients relative to controls**

Left Hemisphere Label	MNI coordinates (x, y, z)	Right Hemisphere Label	MNI coordinates (x, y, z)
Inferior Temporal	-61.9, -20.9, -10.3	Middle Temporal	57.9, -7.5, -14.4
Superior Frontal	-6.9, 17.7, 45.1	Superior Frontal	5.9, 7.6, 53.9
Parietal operculum	-47.2, -17.5, 8.5	Parietal operculum	48.0, -19.9, 7.46
Pre/postcentral	-49.9, -10.6, 25.9	Pre/postcentral	55.8, -18.4, 25.6
		Rostral Anterior	12.7, 43.8, 14.2
		Cingulate/Medial Superior	
		Frontal	

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805 **Table 5. Significant area differences between groups within Desikan parcels. Area measures in mm<sup>2</sup>.**

Parcellation unit	Control	Patient	Significance (p)
Left Cuneus	1900 (+/- 300)	1700 (+/- 280)	0.02
Left Inferior Temporal	4600 (+/- 900)	3900 (+/- 600)	0.01
Left Middle Temporal	4400 (+/- 505)	3900 (+/- 580)	0.01
Left Paracentral	1900 (+/- 400)	1700 (+/- 280)	0.02
Left Postcentral	5400 (+/- 700)	4900 (+/- 670)	0.02
Left Supramarginal	4400 (+/- 810)	4000 (+/- 530)	0.05
Right Inferior Temporal	4500 (+/- 740)	3900 (+/- 560)	<0.01
Right Lateral-occipital	5100 (+/- 750)	4600 (+/- 730)	0.05
Right Precentral	6400 (+/- 800)	5800 (+/- 770)	0.03
Right Superior Frontal	10000 (+/- 1300)	9000 (+/- 1200)	0.02

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807 **Table 6a: Left hemisphere masked SBM results (p values) between adolescent-onset schizophrenic**  
 808 **patients and healthy controls.**

Measure	Left Posterior Operculum	Left Inferior Temporal	Left M1	Left SMA
<b>Unscaled</b>				
Mask size	<b>&lt;0.001</b>	<b>0.005</b>	<b>0.008</b>	<b>0.015</b>
Surface area	<b>&lt;0.001</b>	<b>0.001</b>	<b>0.005</b>	<b>0.004</b>
Metric distortion	<b>0.015</b>	0.670	<b>0.003</b>	0.870
Mean thickness	0.089	0.360	<b>0.027</b>	0.420
Volume	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.002</b>
<b>Scaled</b>				
Scaled mask size	<b>0.001</b>	<b>0.007</b>	<b>0.015</b>	<b>0.027</b>
Scaled surface area	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.046</b>	<b>0.012</b>
Scaled metric distortion	0.075	0.514	<b>0.020</b>	0.510
Scaled thickness	0.150	0.240	<b>0.042</b>	0.540
Scaled volume	<b>&lt;0.001</b>	<b>0.001</b>	<b>0.001</b>	<b>0.004</b>
Scaled derived volume	<b>0.007</b>	0.390	<b>0.001</b>	0.980

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810 **Table 6b: Right hemisphere masked SBM results between adolescent-onset schizophrenic patients**  
 811 **and healthy controls.**

Measure	Right Posterior Operculum	Right Middle Temporal	Right M1	Right SMA	Right medial frontal/rACC
<b>Unscaled</b>					
Mask size	<b>0.003</b>	<b>0.004</b>	<b>0.001</b>	<b>0.020</b>	<b>0.004</b>
Surface area	<b>0.005</b>	<b>0.000</b>	<b>0.003</b>	<b>0.007</b>	<b>0.002</b>
Metric distortion	0.195	0.490	0.110	0.080	0.203
Mean thickness	0.160	0.780	0.120	0.470	0.047
Volume	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.001</b>	<b>0.001</b>
<b>Scaled</b>					

Scaled mask size	<b>0.005</b>	<b>0.006</b>	<b>0.002</b>	<b>0.030</b>	<b>0.006</b>
Scaled surface area	<b>0.047</b>	<b>0.000</b>	0.140	0.060	<b>0.015</b>
Scaled metric distortion	0.450	0.170	0.220	0.200	0.093
Scaled thickness	0.230	0.670	0.170	0.580	<b>0.001</b>
Scaled volume	<b>0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>0.002</b>	0.115
Scaled volume change	0.230	0.080	0.123	0.08	<b>0.030</b>

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813 *Table 6 Legend: Mask size: voxel count in native space. Volume: approximated mid-thickness area \**  
814 *thickness. Scaled mask size: mask size \* average scaling. Scaled thickness: mean thickness \* cube root of*  
815 *the average scaling. Scaled area: surface area divided by the mask size<sup>2/3</sup>. Scaled volume: the SBM*  
816 *estimated volume \* the average scaling. Scaled metric distortion: the mesh triangle area change from the*  
817 *white matter surface to the spherical surface scaled by the ratio of the total surface areas of these surfaces.*  
818 *Scaled volume change: scaled mean thickness divided by the scaled metric distortion. Significant volume*  
819 *differences were corrected for the mask size in the subject's native space.*

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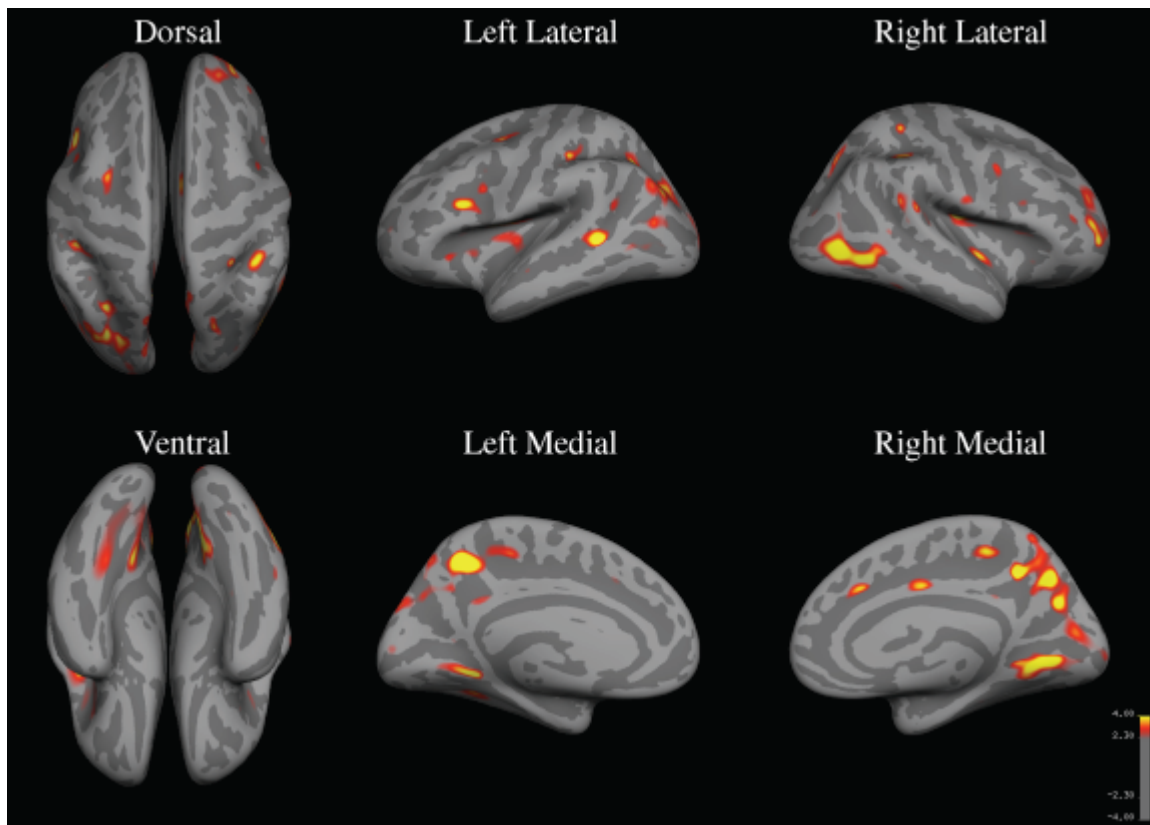
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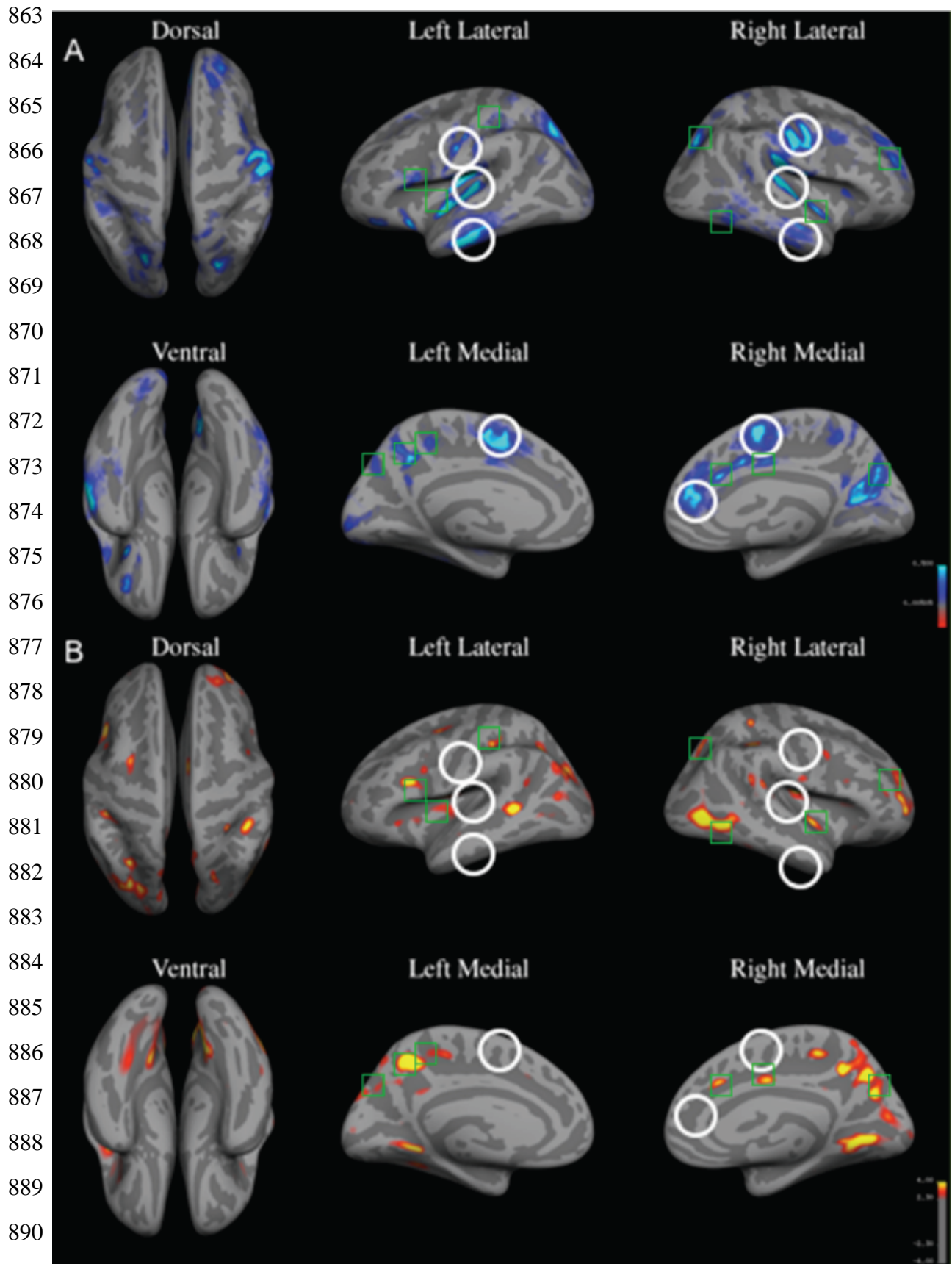
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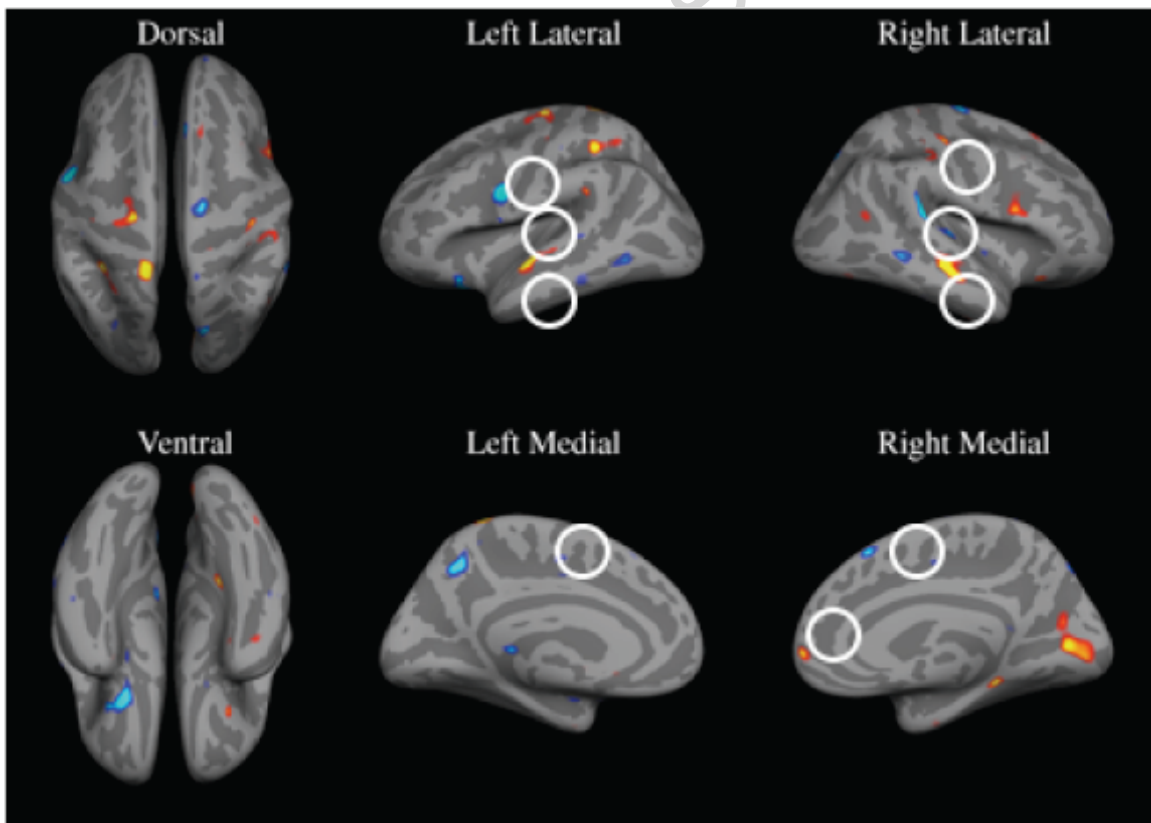
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